San Blas Securities - Held NMS Stocks and Options Order Routing Public Report

Generated on February 23, 2024 09:31:55 EST

4th Quarter, 2023

October 2023

S&P 500 Stocks

m		

	as % of Non- Directed Orders	Orders as % of		Other Orders as % of Non- Directed Orders
0	0	0.000.0	0	0

Venues

	VCITUCS													
I	Venue - Non-directed Order	Non-Directed	Market Orders	Marketable Limit	Non-Marketable	Other Orders	Net Payment	Net Payment Paid/	Net Payment Paid/	Net Payment Paid/ Received for	Net Payment Paid/	Net Payment Paid/	Net Payment	Net Payment Paid/
	Flow	Orders (%)	(%)	Orders (%)	Limit Orders (%)	(%)	Paid/Received for	Received for Market	Received for Marketable	Marketable Limit Orders(cents	Received for Non-	Received for Non-	Paid/Received for	Received for Other
							Market Orders(USD)	Orders(cents per	Limit Orders(USD)	per hundred shares)	Marketable Limit	Marketable Limit	Other Orders(USD)	Orders(cents per hundred
								hundred shares)			Orders(USD)	Orders(cents per hundred		shares)
												shares)		
ĺ	N/A	0	0	0	0	0	0	0	0	0	0	0	0	0

Material Aspects N/A

October 2023

Non-S&P 500 Stocks

Non-Directed Orders as % of All	Market Orders	Marketable Limit	Non-Marketable	Other Orders as
Orders	as % of Non-	Orders as % of	Limit Orders as % of	% of Non-
	Directed Orders	Non-Directed	Non-Directed Orders	Directed Orders
		Orders		
0	0	0	0	0

Venues													
Venue - Non-directed Order	Non-Directed	Market Orders	Marketable Limit	Non-Marketable	Other Orders	Net Payment	Net Payment Paid/	Net Payment Paid/	Net Payment Paid/ Received for	Net Payment Paid/	Net Payment Paid/	Net Payment	Net Payment Paid/
Flow	Orders (%)	(%)	Orders (%)	Limit Orders (%)	(%)	Paid/Received for	Received for Market	Received for Marketable	Marketable Limit Orders(cents	Received for Non-	Received for Non-	Paid/Received for	Received for Other
						Market Orders(USD)	Orders(cents per	Limit Orders(USD)	per hundred shares)	Marketable Limit	Marketable Limit	Other Orders(USD)	Orders(cents per hundred
							hundred shares)			Orders(USD)	Orders(cents per hundred		shares)
											shares)		
N/A	0	0	0	0	0	0	0	0	0	0	0	0	0

Material Aspects N/A

October 2023

Options

	as % of Non- Directed Orders	Orders as % of		Other Orders as % of Non- Directed Orders
0	0	0	0	0

Venues

	/enue - Non-directed Order	Non-Directed	Market Orders	Marketable Limit	Non-Marketable	Other Orders	Net Payment	Net Payment Paid/	Net Payment Paid/	Net Payment Paid/ Received for	Net Payment Paid/	Net Payment Paid/	Net Payment	Net Payment Paid/
					Limit Orders (%)					Marketable Limit Orders(cents				Received for Other
		. ,	. ,	, ,		, ,	Market Orders(USD)	Orders(cents per	Limit Orders(USD)	per hundred shares)	Marketable Limit	Marketable Limit	Other Orders(USD)	Orders(cents per hundred
								hundred shares)			Orders(USD)	Orders(cents per hundred		shares)
												shares)		,
- 1	N/A	0	0	0	0	0	0	0	0	0	0	0	0	0

Material Aspects

N/A

November 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All	Market Orders	Marketable Limit	Non-Marketable	Other Orders as
Orders	as % of Non-	Orders as % of	Limit Orders as % of	% of Non-
	Directed Orders	Non-Directed	Non-Directed Orders	Directed Orders
		Orders		
0	0	0	0	0

Venues

1/	enue - Non-directed Order	Non Directed	Market Orders	Marketable Limit	Non-Marketable	Other Orders	Not Doumont	Net Payment Paid/	Not Downsont Doid /	Net Payment Paid/ Received for	Not Dovernment Doid /	Net Payment Paid/	Net Payment	Net Payment Paid/
V							,	, ,	,			,	,	, , , , , , , , , , , , , , , , , , ,
FI	low	Orders (%)	(%)	Orders (%)	Limit Orders (%)	(%)	Paid/Received for	Received for Market	Received for Marketable	Marketable Limit Orders(cents	Received for Non-	Received for Non-	Paid/Received for	Received for Other
							Market Orders(USD)	Orders(cents per	Limit Orders(USD)	per hundred shares)	Marketable Limit	Marketable Limit	Other Orders(USD)	Orders(cents per hundred
								hundred shares)			Orders(USD)	Orders(cents per hundred		shares)
												shares)		
N	I/A	0	0	0	0	0	0	0	0	0	0	0	0	0

Material Aspects N/A

November 2023

Non-S&P 500 Stocks

Summary

		Orders	Non-Directed Orders	Directed Orders
	Directed Orders	Non-Directed	Non-Directed Orders	Directed Orders
Orders				% of Non-
Non-Directed Orders				Other Orders as

Venue

Venue - Non-directed Order	Non-Directed	Market Orders	Marketable Limit	Non-Marketable	Other Orders	Net Payment	Net Payment Paid/	Net Payment Paid/	Net Payment Paid/ Received for	Net Payment Paid/	Net Payment Paid/	Net Payment	Net Payment Paid/
Flow	Orders (%)	(%)	Orders (%)	Limit Orders (%)	(%)	Paid/Received for	Received for Market	Received for Marketable	Marketable Limit Orders(cents	Received for Non-	Received for Non-	Paid/Received for	Received for Other
						Market Orders(USD)	Orders(cents per	Limit Orders(USD)	per hundred shares)	Marketable Limit	Marketable Limit	Other Orders(USD)	Orders(cents per hundred
							hundred shares)			Orders(USD)	Orders(cents per hundred		shares)
											shares)		
N/A	0	0	0	0	0	0	0	0	0	0	0	0	0

Material Aspects N/A			

November 2023

Options

Non-Directed Orders as % of All	Market Orders	Marketable Limit	Non-Marketable	Other Orders as
Orders	as % of Non-	Orders as % of	Limit Orders as % of	% of Non-
	Directed Orders	Non-Directed	Non-Directed Orders	Directed Orders
		Orders		
0	0	0	0	0

Venues

Venue - Non-directed Order	Non-Directed	Market Orders	Marketable Limit	Non-Marketable	Other Orders	Net Payment	Net Payment Paid/	Net Payment Paid/	Net Payment Paid/ Received for	Net Payment Paid/	Net Payment Paid/	Net Payment	Net Payment Paid/
Flow	Orders (%)	(%)	Orders (%)	Limit Orders (%)	(%)	Paid/Received for	Received for Market	Received for Marketable	Marketable Limit Orders(cents	Received for Non-	Received for Non-	Paid/Received for	Received for Other
						Market Orders(USD)	Orders(cents per	Limit Orders(USD)	per hundred shares)	Marketable Limit	Marketable Limit	Other Orders(USD)	Orders(cents per hundred
							hundred shares)			Orders(USD)	Orders(cents per hundred		shares)
											shares)		
N/A	0	0	0	0	0	0	0	0	0	0	0	0	0

Material Aspects

N/A

December 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All	Market Orders	Marketable Limit	Non-Marketable	Other Orders as
Orders	as % of Non-	Orders as % of	Limit Orders as % of	% of Non-
	Directed Orders	Non-Directed	Non-Directed Orders	Directed Orders
		Orders		
0	0	0	0	0

Venues													
Venue - Non-directed Order	Non-Directed	Market Orders	Marketable Limit	Non-Marketable	Other Orders	Net Payment	Net Payment Paid/	Net Payment Paid/	Net Payment Paid/ Received for	Net Payment Paid/	Net Payment Paid/	Net Payment	Net Payment Paid/
Flow	Orders (%)	(%)	Orders (%)	Limit Orders (%)	(%)	Paid/Received for	Received for Market	Received for Marketable	Marketable Limit Orders(cents	Received for Non-	Received for Non-	Paid/Received for	Received for Other
						Market Orders(USD)	Orders(cents per	Limit Orders(USD)	per hundred shares)	Marketable Limit	Marketable Limit	Other Orders(USD)	Orders(cents per hundred
							hundred shares)			Orders(USD)	Orders(cents per hundred		shares)
											shares)		
N/A	C	0	0	0	0	0	0	0	0	C	0	0	0

Material Aspects N/A

December 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All	Market Orders	Marketable Limit	Non-Marketable	Other Orders as
Orders	as % of Non-	Orders as % of	Limit Orders as % of	% of Non-
	Directed Orders	Non-Directed	Non-Directed Orders	Directed Orders
		Orders		
0	0	0	n	n

V			

١	Venue - Non-directed Order	Non-Directed	Market Orders	Marketable Limit	Non-Marketable	Other Orders	Net Payment	Net Payment Paid/	Net Payment Paid/	Net Payment Paid/ Received for	Net Payment Paid/	Net Payment Paid/	Net Payment	Net Payment Paid/
- II	Flow	Orders (%)	(%)	Orders (%)	Limit Orders (%)	(%)	Paid/Received for	Received for Market	Received for Marketable	Marketable Limit Orders(cents	Received for Non-	Received for Non-	Paid/Received for	Received for Other
							Market Orders(USD)	Orders(cents per	Limit Orders(USD)	per hundred shares)	Marketable Limit	Marketable Limit	Other Orders(USD)	Orders(cents per hundred
								hundred shares)			Orders(USD)	Orders(cents per hundred		shares)
												shares)		
[N/A	0	0	0	0	0	0	0	0	0	0	0	0	0

Material Aspects

N/A

December 2023

Options

Summary

Non-Directed Orders as % of All	Market Orders	Marketable Limit	Non-Marketable	Other Orders as
Orders	as % of Non-	Orders as % of	Limit Orders as % of	% of Non-
	Directed Orders	Non-Directed	Non-Directed Orders	Directed Orders
		Orders		
0	0	0	0	0

Venues

/enue - Non-directed Order	Non-Directed	Market Orders	Marketable Limit	Non-Marketable	Other Orders	Net Payment	Net Payment Paid/	Net Payment Paid/	Net Payment Paid/ Received for	Net Payment Paid/	Net Payment Paid/	Net Payment	Net Payment Paid/
low	Orders (%)	(%)	Orders (%)	Limit Orders (%)	(%)	Paid/Received for	Received for Market	Received for Marketable	Marketable Limit Orders(cents	Received for Non-	Received for Non-	Paid/Received for	Received for Other
						Market Orders(USD)	Orders(cents per	Limit Orders(USD)	per hundred shares)	Marketable Limit	Marketable Limit	Other Orders(USD)	Orders(cents per hundred
							hundred shares)			Orders(USD)	Orders(cents per hundred		shares)
											shares)		
N/A	0	0	0	0	0	0	0	0	0	0	0	0	0
,						low Orders (%) (%) Orders (%) Limit Orders (%) (%)	low Orders (%) (%) Orders (%) Limit Orders (%) (%) Paid/Received for Market Orders(USD)	low Orders (%) (%) Orders (%) Limit Orders (%) (%) Paid/Received for Market	low Orders (%) (%) Orders (%) Limit Orders (%) (%) Paid/Received for Market Draws (Free National Paid (Noted Free National Paid (Note Free National Paid (Noted Free National	low Orders (%) (%) Orders (%) Limit Orders (%) (%) Paid/Received for Market Received for Marketable Limit Orders (cents Market Orders (USD) Orders (cents per Limit Orders (USD)) Per hundred shares)	low Orders (%) (%) Orders (%) Limit Orders (%) (%) Paid/Received for Market Orders (USD) Orders (cents per Limit Orders (USD) Orders (Cents per Limit Orders (USD) Marketable Limit Orders	Orders (%) (%) Orders (%) (%) Paid/Received for Market Orders (USD) Received for Market Orders (USD) (Market Orders (USD) (Market Orders (USD)) (Market Orders (USD)) (Market Orders (USD)) (Market Orders (USD)) (Marketable Limit Orders (USD)) (Marketable Limit Orders (USD)) (Marketable Limit Orders (USD)) (Marketable Limit Orders (USD)) (Orders (USD)	low Orders (%) (%) Orders (%) Limit Orders (%) (%) Paid/Received for Market Orders(USD) Market Orders(USD) (%) Paid/Received for Market Orders(USD) (%) Marketable Limit Orders(cents per hundred shares) (%) Marketable Limit Orders(USD) (%) Orders(cents per hundred shares) (%) Orders(USD) (%) Orders

Material Aspects N/A