San Blas Securities - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2023

July 2023

S&P 500 Stocks

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|----|---|---|----|---|

| Summary | | | | |
|---------------------------------|-----------------|------------------|----------------------|-----------------|
| Non-Directed Orders as % of All | Market Orders | Marketable Limit | Non-Marketable | Other Orders as |
| Orders | as % of Non- | Orders as % of | Limit Orders as % of | % of Non- |
| | Directed Orders | Non-Directed | Non-Directed Orders | Directed Orders |
| | | Orders | | |
| 0 | 0 | 0 | 0 | 0 |

| venues | | | | | | | | | | | | | |
|----------------------------|--------------|---------------|------------------|------------------|--------------|--------------------|---------------------|-------------------------|--------------------------------|-------------------|--------------------------|-------------------|--------------------------|
| Venue - Non-directed Order | Non-Directed | Market Orders | Marketable Limit | Non-Marketable | Other Orders | Net Payment | Net Payment Paid/ | Net Payment Paid/ | Net Payment Paid/ Received for | Net Payment Paid/ | Net Payment Paid/ | Net Payment | Net Payment Paid/ |
| Flow | Orders (%) | (%) | Orders (%) | Limit Orders (%) | (%) | Paid/Received for | Received for Market | Received for Marketable | Marketable Limit Orders(cents | Received for Non- | Received for Non- | Paid/Received for | Received for Other |
| | | | | | | Market Orders(USD) | Orders(cents per | Limit Orders(USD) | per hundred shares) | Marketable Limit | Marketable Limit | Other Orders(USD) | Orders(cents per hundred |
| | | | | | | | hundred shares) | | | Orders(USD) | Orders(cents per hundred | | shares) |
| | | | | | | | | | | | shares) | | |
| N/A | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | C |

Material Aspects

July 2023

Non-S&P 500 Stocks

Summary

| Non-Directed Orders as % of All | Market Orders | Marketable Limit | Non-Marketable | Other Orders as |
|---------------------------------|-----------------|------------------|----------------------|-----------------|
| Orders | as % of Non- | Orders as % of | Limit Orders as % of | % of Non- |
| | Directed Orders | Non-Directed | Non-Directed Orders | Directed Orders |
| | | Orders | | |
| 0 | 0 | 0 | 0 | 0 |

| Ve | nues | | | | | | | | | | | | | |
|----|---------------------------|--------------|---------------|------------------|------------------|--------------|--------------------|---------------------|-------------------------|--------------------------------|-------------------|--------------------------|-------------------|--------------------------|
| Ve | enue - Non-directed Order | Non-Directed | Market Orders | Marketable Limit | Non-Marketable | Other Orders | Net Payment | Net Payment Paid/ | Net Payment Paid/ | Net Payment Paid/ Received for | Net Payment Paid/ | Net Payment Paid/ | Net Payment | Net Payment Paid/ |
| FI | ow | Orders (%) | (%) | Orders (%) | Limit Orders (%) | (%) | Paid/Received for | Received for Market | Received for Marketable | Marketable Limit Orders(cents | Received for Non- | Received for Non- | Paid/Received for | Received for Other |
| | | | | | | | Market Orders(USD) | Orders(cents per | Limit Orders(USD) | per hundred shares) | Marketable Limit | Marketable Limit | Other Orders(USD) | Orders(cents per hundred |
| | | | | | | | | hundred shares) | | | Orders(USD) | Orders(cents per hundred | | shares) |
| | | | | | | | | | | | | shares) | | |
| N, | 'A | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Material Aspects N/A

July 2023

Options

Summary

| | as % of Non- Directed Orders | Orders as % of | | Other Orders as % of Non- Directed Orders |
|---|---------------------------------|----------------|---|---|
| 0 | 0 | 0 | 0 | 0 |

Venues

| Venue - Non-directed Order | Non-Directed | Market Orders | Marketable Limit | Non-Marketable | Other Orders | Net Payment | Net Payment Paid/ | Net Payment Paid/ | Net Payment Paid/ Received for | Net Payment Paid/ | Net Payment Paid/ | Net Payment | Net Payment Paid/ |
|----------------------------|--------------|---------------|------------------|------------------|--------------|--------------------|---------------------|-------------------------|--------------------------------|-------------------|--------------------------|-------------------|--------------------------|
| Flow | Orders (%) | (%) | Orders (%) | Limit Orders (%) | (%) | Paid/Received for | Received for Market | Received for Marketable | Marketable Limit Orders(cents | Received for Non- | Received for Non- | Paid/Received for | Received for Other |
| | | | | | | Market Orders(USD) | Orders(cents per | Limit Orders(USD) | per hundred shares) | Marketable Limit | Marketable Limit | Other Orders(USD) | Orders(cents per hundred |
| | | | | | | | hundred shares) | | | Orders(USD) | Orders(cents per hundred | | shares) |
| | | | | | | | | | | | shares) | | |
| N/A | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Material Aspects N/A

August 2023

S&P 500 Stocks

Summary

| Non-Directed Orders as % of All | Market Orders | Marketable Limit | Non-Marketable | Other Orders as |
|---------------------------------|-----------------|------------------|----------------------|-----------------|
| Orders | as % of Non- | Orders as % of | Limit Orders as % of | % of Non- |
| | Directed Orders | Non-Directed | Non-Directed Orders | Directed Orders |
| | | Orders | | |
| 0 | 0 | 0 | 0 | 0 |

| venues | | | | | | | | | | | | | |
|----------------------------|--------------|---------------|------------------|------------------|--------------|--------------------|---------------------|-------------------------|--------------------------------|-------------------|--------------------------|-------------------|--------------------------|
| Venue - Non-directed Order | Non-Directed | Market Orders | Marketable Limit | Non-Marketable | Other Orders | Net Payment | Net Payment Paid/ | Net Payment Paid/ | Net Payment Paid/ Received for | Net Payment Paid/ | Net Payment Paid/ | Net Payment | Net Payment Paid/ |
| Flow | Orders (%) | (%) | Orders (%) | Limit Orders (%) | (%) | Paid/Received for | Received for Market | Received for Marketable | Marketable Limit Orders(cents | Received for Non- | Received for Non- | Paid/Received for | Received for Other |
| | | | | | | Market Orders(USD) | Orders(cents per | Limit Orders(USD) | per hundred shares) | Marketable Limit | Marketable Limit | Other Orders(USD) | Orders(cents per hundred |
| | | | | | | | hundred shares) | | | Orders(USD) | Orders(cents per hundred | | shares) |
| | | | | | | | | | | | shares) | | |
| N/A | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Material Aspects N/A

August 2023

Non-S&P 500 Stocks

| | as % of Non- Directed Orders | Orders as % of | | Other Orders as % of Non- Directed Orders |
|---|---------------------------------|----------------|---|---|
| 0 | 0 | 0 | 0 | 0 |

| - | venues | | | | | | | | | | | | | |
|---|----------------------------|--------------|---------------|------------------|------------------|--------------|--------------------|---------------------|-------------------------|--------------------------------|-------------------|--------------------------|-------------------|--------------------------|
| ı | Venue - Non-directed Order | Non-Directed | Market Orders | Marketable Limit | Non-Marketable | Other Orders | Net Payment | Net Payment Paid/ | Net Payment Paid/ | Net Payment Paid/ Received for | Net Payment Paid/ | Net Payment Paid/ | Net Payment | Net Payment Paid/ |
| | Flow | Orders (%) | (%) | Orders (%) | Limit Orders (%) | (%) | Paid/Received for | Received for Market | Received for Marketable | Marketable Limit Orders(cents | Received for Non- | Received for Non- | Paid/Received for | Received for Other |
| | | | | | | | Market Orders(USD) | Orders(cents per | Limit Orders(USD) | per hundred shares) | Marketable Limit | Marketable Limit | Other Orders(USD) | Orders(cents per hundred |
| | | | | | | | | hundred shares) | | | Orders(USD) | Orders(cents per hundred | | shares) |
| | | | | | | | | | | | | shares) | | |
| [| N/A | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| Material Aspects N/A | | |
|-------------------------|--|--|
| | | |

August 2023

Options

Summary

| Non-Directed Orders as % of All | Market Orders | Marketable Limit | Non-Marketable | Other Orders as |
|---------------------------------|-----------------|------------------|----------------------|-----------------|
| Orders | as % of Non- | Orders as % of | Limit Orders as % of | % of Non- |
| | Directed Orders | Non-Directed | Non-Directed Orders | Directed Orders |
| | | Orders | | |
| 0 | 0 | 0 | 0 | 0 |

| venues | | | | | | | | | | | | | |
|----------------------------|--------------|---------------|------------------|------------------|--------------|--------------------|---------------------|-------------------------|--------------------------------|-------------------|--------------------------|-------------------|--------------------------|
| Venue - Non-directed Order | Non-Directed | Market Orders | Marketable Limit | Non-Marketable | Other Orders | Net Payment | Net Payment Paid/ | Net Payment Paid/ | Net Payment Paid/ Received for | Net Payment Paid/ | Net Payment Paid/ | Net Payment | Net Payment Paid/ |
| Flow | Orders (%) | (%) | Orders (%) | Limit Orders (%) | (%) | Paid/Received for | Received for Market | Received for Marketable | Marketable Limit Orders(cents | Received for Non- | Received for Non- | Paid/Received for | Received for Other |
| | | | | | | Market Orders(USD) | Orders(cents per | Limit Orders(USD) | per hundred shares) | Marketable Limit | Marketable Limit | Other Orders(USD) | Orders(cents per hundred |
| | | | | | | | hundred shares) | | | Orders(USD) | Orders(cents per hundred | | shares) |
| | | | | | | | | | | | shares) | | |
| N/A | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (|

Material Aspects

N/A

September 2023

S&P 500 Stocks

Summary

| Non-Directed Orders as % of All | Market Orders | Marketable Limit | Non-Marketable | Other Orders as |
|---------------------------------|-----------------|------------------|----------------------|-----------------|
| Orders | as % of Non- | Orders as % of | Limit Orders as % of | % of Non- |
| | Directed Orders | Non-Directed | Non-Directed Orders | Directed Orders |
| | | Orders | | |
| 0 | 0 | 0 | 0 | 0 |

Venues

| | Verrues | | | | | | | | | | | | | |
|-----|----------------------------|--------------|---------------|------------------|------------------|--------------|--------------------|---------------------|-------------------------|--------------------------------|-------------------|--------------------------|-------------------|--------------------------|
| - 1 | Venue - Non-directed Order | Non-Directed | Market Orders | Marketable Limit | Non-Marketable | Other Orders | Net Payment | Net Payment Paid/ | Net Payment Paid/ | Net Payment Paid/ Received for | Net Payment Paid/ | Net Payment Paid/ | Net Payment | Net Payment Paid/ |
| | Flow | Orders (%) | (%) | Orders (%) | Limit Orders (%) | (%) | Paid/Received for | Received for Market | Received for Marketable | Marketable Limit Orders(cents | Received for Non- | Received for Non- | Paid/Received for | Received for Other |
| | | | | | | | Market Orders(USD) | Orders(cents per | Limit Orders(USD) | per hundred shares) | Marketable Limit | Marketable Limit | Other Orders(USD) | Orders(cents per hundred |
| | | | | | | | | hundred shares) | | | Orders(USD) | Orders(cents per hundred | | shares) |
| | | | | | | | | | | | | shares) | | |
| ſ | N/A | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Material Aspects N/A

September 2023

Non-S&P 500 Stocks

Summary

| Julilliary | | | | |
|---------------------------------|-----------------|------------------|----------------------|-----------------|
| Non-Directed Orders as % of All | Market Orders | Marketable Limit | Non-Marketable | Other Orders as |
| Orders | as % of Non- | Orders as % of | Limit Orders as % of | % of Non- |
| | Directed Orders | Non-Directed | Non-Directed Orders | Directed Orders |
| | | Orders | | |
| 0 | 0 | 0 | 0 | 0 |

| V | | |
|---|--|--|
| | | |

| ١ | enue - Non-directed Order | Non-Directed | Market Orders | Marketable Limit | Non-Marketable | Other Orders | Net Payment | Net Payment Paid/ | Net Payment Paid/ | Net Payment Paid/ Received for | Net Payment Paid/ | Net Payment Paid/ | Net Payment | Net Payment Paid/ |
|---|---------------------------|--------------|---------------|------------------|------------------|--------------|--------------------|---------------------|-------------------------|--------------------------------|-------------------|--------------------------|-------------------|--------------------------|
| ı | low | Orders (%) | (%) | Orders (%) | Limit Orders (%) | (%) | Paid/Received for | Received for Market | Received for Marketable | Marketable Limit Orders(cents | Received for Non- | Received for Non- | Paid/Received for | Received for Other |
| | | | | | | | Market Orders(USD) | Orders(cents per | Limit Orders(USD) | per hundred shares) | Marketable Limit | Marketable Limit | Other Orders(USD) | Orders(cents per hundred |
| | | | | | | | | hundred shares) | | | Orders(USD) | Orders(cents per hundred | | shares) |
| | | | | | | | | | | | | shares) | | |
| [| I/A | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Material Aspects N/A

September 2023

Options

Summary

| Julilliary | | | | |
|---------------------------------|-----------------|------------------|----------------------|-----------------|
| Non-Directed Orders as % of All | Market Orders | Marketable Limit | Non-Marketable | Other Orders as |
| Orders | as % of Non- | Orders as % of | Limit Orders as % of | % of Non- |
| | Directed Orders | Non-Directed | Non-Directed Orders | Directed Orders |
| | | Orders | | |
| 0 | 0 | 0 | 0 | 0 |

| _ | venues | | | | | | | | | | | | | |
|---|----------------------------|--------------|---------------|------------------|------------------|--------------|--------------------|---------------------|-------------------------|--------------------------------|-------------------|--------------------------|-------------------|--------------------------|
| ı | Venue - Non-directed Order | Non-Directed | Market Orders | Marketable Limit | Non-Marketable | Other Orders | Net Payment | Net Payment Paid/ | Net Payment Paid/ | Net Payment Paid/ Received for | Net Payment Paid/ | Net Payment Paid/ | Net Payment | Net Payment Paid/ |
| | Flow | Orders (%) | (%) | Orders (%) | Limit Orders (%) | (%) | Paid/Received for | Received for Market | Received for Marketable | Marketable Limit Orders(cents | Received for Non- | Received for Non- | Paid/Received for | Received for Other |
| | | | | | | | Market Orders(USD) | Orders(cents per | Limit Orders(USD) | per hundred shares) | Marketable Limit | Marketable Limit | Other Orders(USD) | Orders(cents per hundred |
| | | | | | | | | hundred shares) | | | Orders(USD) | Orders(cents per hundred | | shares) |
| | | | | | | | | | | | | shares) | | |
| ſ | N/A | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Material Aspects N/A